

## PATENT APPLICATION

### IONICALLY CONDUCTIVE COMPOSITES FOR PROTECTION OF ACTIVE METAL ANODES

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# IONICALLY CONDUCTIVE COMPOSITES FOR PROTECTION OF ACTIVE METAL ANODES

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority to U.S. Provisional Patent Application No. 60/418,899 filed October 15, 2002, titled IONICALLY CONDUCTIVE COMPOSITES FOR PROTECTION OF ANODES AND ELECTROLYTES. This provisional patent application is incorporated herein by reference in its entirety for all purposes.

## BACKGROUND OF THE INVENTION

### 1. Field of the Invention

The present invention relates generally to separators and electrode structures for use in batteries. More particularly, this invention relates composites for protection of active metal anodes from deleterious reaction with air, moisture and other battery components and methods for their fabrication.

### 2. Description of Related Art

The low equivalent weight of alkali metals, such as lithium, render them particularly attractive as a battery electrode component. Lithium provides greater energy per volume than the traditional battery standards, nickel and cadmium. Unfortunately, no rechargeable lithium metal batteries have yet succeeded in the market place.

The failure of rechargeable lithium metal batteries is largely due to cell cycling problems. On repeated charge and discharge cycles, lithium “dendrites” gradually grow out from the lithium metal electrode, through the electrolyte, and ultimately contact the positive electrode. This causes an internal short circuit in the battery, rendering the battery unusable after a relatively few cycles. While cycling, lithium electrodes may also grow “mossy” deposits which can dislodge from the negative electrode and thereby reduce the battery’s capacity.

To address lithium’s poor cycling behavior in liquid electrolyte systems, some researchers have proposed coating the electrolyte facing side of the lithium negative electrode with a “protective layer.” Such protective layer must conduct lithium ions,

but at the same time prevent contact between the lithium electrode surface and the bulk electrolyte. Many techniques for applying protective layers have not succeeded.

Some contemplated lithium metal protective layers are formed *in situ* by reaction between lithium metal and compounds in the cell's electrolyte which contact the lithium. Most of these *in situ* films are grown by a controlled chemical reaction after the battery is assembled. Generally, such films have a porous morphology allowing some electrolyte to penetrate to the bare lithium metal surface. Thus, they fail to adequately protect the lithium electrode.

Various pre-formed lithium protective layers have been contemplated. For example, US Patent No. 5,314,765 (issued to Bates on May 24, 1994) describes an *ex situ* technique for fabricating a lithium electrode containing a thin layer of sputtered lithium phosphorus oxynitride ("LiPON") or related material. LiPON is a glassy single ion conductor (conducts lithium ion) which has been studied as a potential electrolyte for solid state lithium microbatteries that are fabricated on silicon and used to power integrated circuits (See US Patents Nos. 5,597,660, 5,567,210, 5,338,625, and 5,512,147, all issued to Bates et al.).

Work in the present applicants' laboratories has developed technology for the use of glassy or amorphous protective layers, such as LiPON, in active metal battery electrodes. (See, for example, US Patents 6,025,094, issued 02/15/00, 6,402,795, issued 06/11/02, 6,214,061, issued 04/10/01 and 6,413,284, issued 07/02/02, all issued to Visco, et al. and assigned to at PolyPlus Battery Company). Despite this progress, alternative protective layers and structures that could also enhance active metal, particularly lithium metal, battery performance continue to be sought. In particular, protective layers that combine the characteristics of high ionic conductivity and chemical stability to materials and conditions on either side of the protective layer are desired.

## SUMMARY OF THE INVENTION

The present invention provides ionically conductive composites for protection of anodes and electrolytes and methods for their fabrication. The composites may be incorporated in active metal negative electrode (anode) structures and battery cells. In accordance with the invention, the properties of different ionic conductors are combined in a composite material that has the desired properties of high overall ionic conductivity and chemical stability towards the anode, the cathode and ambient conditions encountered in battery manufacturing. The composite is capable of protecting an active metal anode from deleterious reaction with other battery components or ambient conditions while providing a high level of ionic conductivity to facilitate manufacture and/or enhance performance of a battery cell in which the composite is incorporated.

The composite is composed of at least two layers of different materials having different chemical compatibility requirements. By “chemical compatibility” (or “chemically compatible”) it is meant that the referenced material does not react to form a product that is deleterious to battery cell operation when contacted with one or more other referenced battery cell components or manufacturing, handling or storage conditions. A first material layer (or first layer material) of the composite is ionically conductive, and chemically compatible with an active metal electrode material. Chemical compatibility in this aspect of the invention refers both to a material that is chemically stable and therefore substantially unreactive when contacted with an active metal electrode material. It may also refer to a material that is chemically stable with air, to facilitate storage and handling, and reactive when contacted with an active metal electrode material to produce a product that is chemically stable against the active metal electrode material and has the desirable ionic conductivity (i.e., a first layer material). Such a reactive material is sometimes referred to as a “precursor” material. A second material layer of the composite is substantially impervious, ionically conductive and chemically compatible with the first material. Additional layers are possible to achieve these aims, or otherwise enhance electrode stability or performance. All layers of the composite have high ionic conductivity, at least  $10^{-7}$  S/cm, generally at least  $10^{-6}$  S/cm, for example at least  $10^{-5}$  S/cm to  $10^{-4}$  S/cm, and as

high as  $10^{-3}$  S/cm or higher so that the overall ionic conductivity of the multi-layer protective structure is at least  $10^{-7}$  S/cm and as high as  $10^{-3}$  S/cm or higher.

A wide variety of materials may be used in fabricating protective composites in accordance with the present invention, consistent with the principles described  
 5 above. For example, the first layer, in contact with the active metal, may be composed, in whole or in part, of active metal nitrides, active metal phosphides, active metal halides or active metal phosphorus oxynitride-based glass. Specific examples include  $\text{Li}_3\text{N}$ ,  $\text{Li}_3\text{P}$ ,  $\text{LiI}$ ,  $\text{LiBr}$ ,  $\text{LiCl}$ ,  $\text{LiF}$  and  $\text{LiPON}$ . These materials may be applied to the active metal electrode, or they may be formed *in situ* by application of  
 10 precursors such as metal nitrides, metal phosphides, metal halides, red phosphorus, iodine, nitrogen or phosphorus containing organics and polymers, and the like. The *in situ* formation of the first layer may result from an incomplete conversion of the precursors to their lithiated analog. Nevertheless, such incomplete conversions meet the requirements of a first layer material for a protective composite in accordance with  
 15 the present invention and are therefore within the scope of the invention.

A second layer of the protective composite may be composed of a substantially impervious glassy or amorphous ionic conductor, such as a phosphorus-based glass, oxide-based glass, phosphorus-oxynitride-based glass, sulphur-based glass, oxide/sulfide based glass, selenide based glass, gallium based glass, germanium-based  
 20 glass, glass-ceramic active metal ion conductor, lithium beta-alumina, sodium beta-alumina, Li superionic conductor (LISICON), Na superionic conductor (NASICON), and the like. Specific examples include  $\text{LiPON}$ ,  $\text{Li}_3\text{PO}_4$ ,  $\text{Li}_2\text{S}$ ,  $\text{SiS}_2$ ,  $\text{Li}_2\text{S}$ ,  $\text{GeS}_2$ ,  $\text{Ga}_2\text{S}_3$ ,  $\text{Li}_2\text{O} \cdot 11\text{Al}_2\text{O}_3$ ,  $\text{Na}_2\text{O} \cdot 11\text{Al}_2\text{O}_3$ ,  $(\text{Na}, \text{Li})_{1+x}\text{Ti}_{2-x}\text{Al}_x(\text{PO}_4)_3$  ( $0.6 \leq x \leq 0.9$ ) and crystallographically related structures,  $\text{Na}_3\text{Zr}_2\text{Si}_2\text{PO}_{12}$ ,  $\text{Li}_3\text{Zr}_2\text{Si}_2\text{PO}_{12}$ ,  $\text{Na}_5\text{ZrP}_3\text{O}_{12}$ ,  
 25  $\text{Na}_5\text{TiP}_3\text{O}_{12}$ ,  $\text{Na}_3\text{Fe}_2\text{P}_3\text{O}_{12}$ ,  $\text{Na}_4\text{NbP}_3\text{O}_{12}$ ,  $\text{Li}_5\text{ZrP}_3\text{O}_{12}$ ,  $\text{Li}_5\text{TiP}_3\text{O}_{12}$ ,  $\text{Li}_3\text{Fe}_2\text{P}_3\text{O}_{12}$  and  $\text{Li}_4\text{NbP}_3\text{O}_{12}$ .

A particularly suitable glass-ceramic material for the second layer of the protective composite is a lithium ion conductive glass-ceramic having the following composition:

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Composition	mol %
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$P_2O_5$	26-55%
$SiO_2$	0-15%
$GeO_2 + TiO_2$	25-50%
in which $GeO_2$	0--50%
$TiO_2$	0--50%
$ZrO_2$	0-10%
$M_2O_3$	$0 < 10\%$
$Al_2O_3$	0-15%
$Ga_2O_3$	0-15%
$Li_2O$	3-25%

and containing a predominant crystalline phase composed of  $Li_{1+x}(M,Al,Ga)_x(Ge_{1-y}Ti_y)_{2-x}(PO_4)_3$  where  $X \leq 0.8$  and  $0 \leq Y \leq 1.0$ , and where M is an element selected from the group consisting of Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm and Yb and/or and  
5  $Li_{1+x+y}Q_xTi_{2-x}Si_yP_{3-y}O_{12}$  where  $0 < X \leq 0.4$  and  $0 < Y \leq 0.6$ , and where Q is Al or Ga. The glass-ceramics are obtained by melting raw materials to a melt, casting the melt to a glass and subjecting the glass to a heat treatment. Such materials are available from OHARA Corporation, Japan and are further described in US Patent Nos. 5,702,995, 6,030,909, 6,315,881 and 6,485,622, incorporated herein by reference.

10 Either layer may also include additional components. For instance, a suitable active metal compatible layer (first layer) may include a polymer component to enhance its properties. For example, polymer-iodine complexes like poly(2-vinylpyridine)-iodine (P2VP-I<sub>2</sub>), polyethylene-iodine, or with tetraalkylammonium-iodine complexes can react with Li to form a LiI-based film having significantly  
15 higher ionic conductivity than that for pure LiI. Also, a suitable first layer may include a material used to facilitate its use, for example, the residue of a wetting layer (e.g., Ag) used to prevent reaction between vapor phase lithium (during deposition) and LiPON when LiPON is used as a first layer material.

A suitable second layer may include a polymer component to enhance its

properties. For example, a glass-ceramic active metal ion conductor, like the glass-ceramic materials described above, may also be combined with polymer electrolytes to form flexible composite sheets of material which may be used as second layer of the protective composite. One important example of such a flexible composite material has been developed by OHARA Corp. (Japan). It is composed of particles of a Li-ion conducting glass-ceramic material, such as described above, and a solid polymer electrolyte based on PEO-Li salt complexes. OHARA Corp. manufactures this material in the form of sheet with a thickness of about 50 microns that renders it flexible while maintaining its high ionic conductivity. Because of its relatively high ionic conductivity (better than  $4 \times 10^{-5}$  S/cm at room temperature in the case of the OHARA product) and stability toward metallic Li, this type of composite electrolyte can be used at room temperature or elevated temperatures in fully solid-state cells.

In addition, the layers may be formed using a variety of techniques. These include deposition or evaporation (including e-beam evaporation) of layers of material, such as  $\text{LiN}_3$  or an ionically conductive glass. Also, as noted above, the active metal electrode adjacent layer may be formed *in situ* from the non-deleterious reaction of one or more precursors with the active metal electrode. For example, a  $\text{LiN}_3$  layer may be formed on a Li anode by contacting  $\text{CuN}_3$  with the Li anode surface, or  $\text{LiP}_3$  may be formed on a Li anode by contacting red phosphorus with the Li anode surface.

The invention encompasses protected anode structures with fully-formed protective layers and battery separators incorporating ambient stable precursors, each of which may be handled or stored in normal ambient atmospheric conditions without degradation prior to incorporation into a battery cell. Battery cells and methods for making composites and battery cells are also provided.

These and other features of the invention will be further described and exemplified in the detailed description below.

## BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic illustration of an active metal battery cell incorporating an ionically conductive protective composite in accordance with the present invention.

Fig. 2 is a schematic illustration of a protective composite battery separator in  
5 accordance with the present invention.

Fig. 3 is a schematic illustration of an active metal anode structure incorporating an ionically conductive protective composite in accordance with the present invention.

Figs. 4A-B, 5 and 6A-B are schematic illustrations of alternative methods of  
10 making an electrochemical device structure incorporating an ionically conductive protective composite in accordance with the present invention.

Figs. 7A-B and 8A-D are plots of data illustrating the performance benefits of ionically conductive protective composites in accordance with the present invention.

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## DETAILED DESCRIPTION OF SPECIFIC EMBODIMENTS

Reference will now be made in detail to specific embodiments of the invention. Examples of the specific embodiments are illustrated in the accompanying drawings. While the invention will be described in conjunction with these specific  
5       embodiments, it will be understood that it is not intended to limit the invention to such specific embodiments. On the contrary, it is intended to cover alternatives, modifications, and equivalents as may be included within the spirit and scope of the invention as defined by the appended claims. In the following description, numerous specific details are set forth in order to provide a thorough understanding of the  
10       present invention. The present invention may be practiced without some or all of these specific details. In other instances, well known process operations have not been described in detail in order not to unnecessarily obscure the present invention.

When used in combination with “comprising,” “a method comprising,” “a device comprising” or similar language in this specification and the appended claims,  
15       the singular forms “a,” “an,” and “the” include plural reference unless the context clearly dictates otherwise. Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood to one of ordinary skill in the art to which this invention belongs.

## Introduction

20       Ionically conductive composites for protection of anodes and electrolytes and methods for their fabrication. The composites may be incorporated in active metal negative electrode (anode) structures and battery cells. In accordance with the invention, the properties of different ionic conductors are combined in a composite material that has the desired properties of high overall ionic conductivity and chemical  
25       stability towards the anode, the cathode and ambient conditions encountered in battery manufacturing. The composite is capable of protecting an active metal anode from deleterious reaction with other battery components or ambient conditions while providing a high level of ionic conductivity to facilitate manufacture and/or enhance performance of a battery cell in which the composite is incorporated.

30       The composite is composed of at least two layers of different materials having different chemical compatibility requirements. By “chemical compatibility” (or

“chemically compatible”) it is meant that the referenced material does not react to form a product that is deleterious to battery cell operation when contacted with one or more other referenced battery cell components or manufacturing, handling or storage conditions. A first material layer of the composite is both ionically conductive and chemically compatible with an active metal electrode material. Chemical compatibility in this aspect of the invention refers to a material that is chemically stable and therefore substantially unreactive when contacted with an active metal electrode material. Active metals are highly reactive in ambient conditions and can benefit from a barrier layer when used as electrodes. They are generally alkali metals such (e.g., lithium, sodium or potassium), alkaline earth metals (e.g., calcium or magnesium), and/or certain transitional metals (e.g., zinc), and/or alloys of two or more of these. The following active metals may be used: alkali metals (e.g., Li, Na, K), alkaline earth metals (e.g., Ca, Mg, Ba), or binary or ternary alkali metal alloys with Ca, Mg, Sn, Ag, Zn, Bi, Al, Cd, Ga, In. Preferred alloys include lithium aluminum alloys, lithium silicon alloys, lithium tin alloys, lithium silver alloys, and sodium lead alloys (e.g., Na<sub>4</sub>Pb). A preferred active metal electrode is composed of lithium. Chemical compatibility also refers to a material that may be chemically stable with oxidizing materials and reactive when contacted with an active metal electrode material to produce a product that is chemically stable against the active metal electrode material and has the desirable ionic conductivity (i.e., a first layer material). Such a reactive material is sometimes referred to as a “precursor” material.

A second material layer of the composite is substantially impervious, ionically conductive and chemically compatible with the first material. By substantially impervious it is meant that the material provides a sufficient barrier to battery electrolytes and solvents and other battery component materials that would be damaging to the electrode material to prevent any such damage that would degrade electrode performance from occurring. Thus, it should be non-swellable and free of pores, defects, and any pathways allowing air, moisture, electrolyte, etc. to penetrate though it to the first material. Preferably, the second material layer is so impervious to ambient moisture, carbon dioxide, oxygen, etc. that an encapsulated lithium alloy electrode can be handled under ambient conditions without the need for elaborate dry box conditions as typically employed to process other lithium electrodes. Because the

composite protective layer described herein provides such good protection for the lithium (or other active metal), it is contemplated that electrodes and electrode/electrolyte composites of this invention may have a quite long shelf life outside of a battery. Thus, the invention contemplates not only batteries containing a negative electrode, but unused negative electrodes and electrode/electrolyte laminates themselves. Such negative electrodes and electrode/electrolyte laminates may be provided in the form of sheets, rolls, stacks, etc. Ultimately, they are integrated with other battery components to fabricate a battery. The enhanced stability of the batteries of this invention will greatly simplify this fabrication procedure.

It should be noted that the first and second materials are inherently ionically conductive. That is, they do not depend on the presence of a liquid electrolyte or other agent for their ionically conductive properties.

Additional layers are possible to achieve these aims, or otherwise enhance electrode stability or performance. All layers of the composite have high ionic conductivity, at least  $10^{-7}$  S/cm, generally at least  $10^{-6}$  S/cm, for example at least  $10^{-5}$  S/cm to  $10^{-4}$  S/cm, and as high as  $10^{-3}$  S/cm or higher so that the overall ionic conductivity of the multi-layer protective structure is at least  $10^{-7}$  S/cm and as high as  $10^{-3}$  S/cm or higher.

#### Protective Composites and Structures

Fig.1 illustrates an ionically conductive protective composite in accordance with the present invention in context as it would be used in an active metal battery cell 120, such as a lithium-sulfur battery, in accordance with the present invention. The composite 100 is composed of a first layer 102 of a material that is both ionically conductive and chemically compatible with an active metal electrode material. The composite also includes second layer 104 of a material that is substantially impervious, ionically conductive and chemically compatible with the first material. The ionic conductivity of the composite is at least  $10^{-7}$  S/cm, generally at least  $10^{-6}$  S/cm, for example at least  $10^{-5}$  S/cm to  $10^{-4}$  S/cm, and as high as  $10^{-3}$  S/cm or higher. The first layer 102 is adjacent to an active metal (e.g., lithium) anode 106. The active metal cathode 106 is connected with a current collector 108, composed of a conductive metal such as copper. On the other side of the composite 100, the second layer 104 is (optionally) in contact with an electrolyte 110. Alternatively, in some

embodiments, the second layer 104 may itself be the sole electrolyte of the battery cell. Adjacent to the electrolyte is the cathode 112 with its current collector 114.

Fig. 2 illustrates a protective composite battery separator in accordance with the present invention. The separator 200 includes a layer of a first material or precursor 202 that is ionically conductive and chemically compatible with an active metal. In most cases, the first material is not chemically compatible with oxidizing materials (e.g., air, moisture, etc). The first layer, in contact with the active metal, may be composed, in whole or in part, of active metal nitrides, active metal phosphides, active metal halides or active metal phosphorus oxynitride-based glasses. Specific examples include  $\text{Li}_3\text{N}$ ,  $\text{Li}_3\text{P}$ ,  $\text{LiI}$ ,  $\text{LiBr}$ ,  $\text{LiCl}$  and  $\text{LiF}$ . In at least one instance,  $\text{LiPON}$ , the first material is chemically compatible with oxidizing materials. The thickness of the first material layer is preferably about 0.1 to 5 microns, or 0.2 to 1 micron, for example about 0.25 micron.

As noted above, the first material may also be a precursor material which is chemically compatible with an active metal and reactive when contacted with an active metal electrode material to produce a product that is chemically stable against the active metal electrode material and has the desirable ionic conductivity (i.e., a first layer material). Examples of suitable precursor materials include metal nitrides, red phosphorus, nitrogen and phosphorus containing organics (e.g., amines, phosphines, borazine ( $\text{B}_3\text{N}_3\text{H}_6$ ), triazine ( $\text{C}_3\text{N}_3\text{H}_3$ )) and halides. Some specific examples include P (red phosphorus),  $\text{Cu}_3\text{N}$ ,  $\text{SnN}_x$ ,  $\text{Zn}_3\text{N}_2$ ,  $\text{FeN}_x$ ,  $\text{CoN}_x$ , aluminum nitride ( $\text{AlN}$ ), silicon nitride ( $\text{Si}_3\text{N}_4$ ) and  $\text{I}_2$ ,  $\text{Br}_2$ ,  $\text{Cl}_2$  and  $\text{F}_2$ . Such precursor materials can subsequently react with active metal (e.g., Li) to form a Li metal salts, such as the lithium nitrides, phosphides and halides described above. In some instances, these first layer material precursors may also be chemically stable in air (including moisture and other materials normally present in ambient atmosphere), thus facilitating handling and fabrication. Examples include metal nitrides, for example  $\text{Cu}_3\text{N}$ .

Also, a suitable active metal compatible layer may include a polymer component to enhance its properties. For example, polymer-iodine complexes like poly(2-vinylpyridine)-iodine ( $\text{P2VP-I}_2$ ), polyethylene-iodine, or with tetraalkylammonium-iodine complexes can react with Li to form a  $\text{LiI}$ -based film having significantly higher ionic conductivity than that for pure  $\text{LiI}$ .

The ionic conductivity of the first material is high, at least  $10^{-7}$  S/cm, generally at least about  $10^{-5}$  S/cm, and may be as high as  $10^{-3}$  S/cm or higher.

Adjacent to the first material or precursor layer 202 is a second layer 204 that is substantially impervious, ionically conductive and chemically compatible with the first material or precursor, such as a phosphorus-based glass, oxide-based glass, phosphorus-oxynitride-based glass, sulphur-based glass, oxide/sulfide based glass, selenide based glass, gallium based glass, germanium-based glass, glass-ceramic active metal ion conductor, lithium beta-alumina, sodium beta-alumina, Li superionic conductor (LISICON), Na superionic conductor (NASICON), and the like. Specific examples include LiPON,  $\text{Li}_3\text{PO}_4\cdot\text{Li}_2\text{S}\cdot\text{SiS}_2$ ,  $\text{Li}_2\text{S}\cdot\text{GeS}_2\cdot\text{Ga}_2\text{S}_3$ ,  $\text{Li}_2\text{O}\cdot 11\text{Al}_2\text{O}_3$ ,  $\text{Na}_2\text{O}\cdot 11\text{Al}_2\text{O}_3$ ,  $(\text{Na}, \text{Li})_{1+x}\text{Ti}_{2-x}\text{Al}_x(\text{PO}_4)_3$  ( $0.6 \leq x \leq 0.9$ ) and crystallographically related structures,  $\text{Na}_3\text{Zr}_2\text{Si}_2\text{PO}_{12}$ ,  $\text{Li}_3\text{Zr}_2\text{Si}_2\text{PO}_{12}$ ,  $\text{Na}_5\text{ZrP}_3\text{O}_{12}$ ,  $\text{Na}_5\text{TiP}_3\text{O}_{12}$ ,  $\text{Na}_3\text{Fe}_2\text{P}_3\text{O}_{12}$ ,  $\text{Na}_4\text{NbP}_3\text{O}_{12}$ ,  $\text{Li}_5\text{ZrP}_3\text{O}_{12}$ ,  $\text{Li}_5\text{TiP}_3\text{O}_{12}$ ,  $\text{Li}_3\text{Fe}_2\text{P}_3\text{O}_{12}$  and  $\text{Li}_4\text{NbP}_3\text{O}_{12}$ .

A particularly suitable glass-ceramic material for the second layer of the protective composite is a lithium ion conductive glass-ceramic having the following composition:

Composition	mol %
$\text{P}_2\text{O}_5$	26-55%
$\text{SiO}_2$	0-15%
$\text{GeO}_2 + \text{TiO}_2$	25-50%
in which $\text{GeO}_2$	0--50%
$\text{TiO}_2$	0--50%
$\text{ZrO}_2$	0-10%
$\text{M}_2\text{O}_3$	$0 < 10\%$
$\text{Al}_2\text{O}_3$	0-15%
$\text{Ga}_2\text{O}_3$	0-15%
$\text{Li}_2\text{O}$	3-25%

and containing a predominant crystalline phase composed of  $\text{Li}_{1+x}(\text{M}, \text{Al}, \text{Ga})_x(\text{Ge}_{1-y}\text{Ti}_y)_2\text{-x}(\text{PO}_4)_3$  where  $X \leq 0.8$  and  $0 \leq Y \leq 1.0$ , and where M is an element selected from the group consisting of Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm and Yb and/or and  
 5  $\text{Li}_{1+x+y}\text{Q}_x\text{Ti}_{2-x}\text{Si}_y\text{P}_{3-y}\text{O}_{12}$  where  $0 < X \leq 0.4$  and  $0 < Y \leq 0.6$ , and where Q is Al or Ga. The glass-ceramics are obtained by melting raw materials to a melt, casting the melt to a glass and subjecting the glass to a heat treatment. Such materials are available from OHARA Corporation, Japan and are further described in US Patent Nos. 5,702,995, 6,030,909, 6,315,881 and 6,485,622, incorporated herein by reference.

10 The high conductivity of some of these glasses and glass-ceramics (ionic conductivity in the range of about  $10^{-5}$  to  $10^{-3}$  S/cm or greater) may enhance performance of the protected lithium anode, and allow relatively thick films to be deposited without a large penalty in terms of ohmic resistance.

Also, a suitable second layer may include a polymer component to enhance its  
 15 properties. For example, a glass-ceramic active metal ion conductor, like the glass-ceramic materials described above, may also be combined with polymer electrolytes to form flexible composite sheets of material which may be used as second layer of the protective composite. One important example of such a flexible composite material has been developed by OHARA Corp. (Japan). It is composed of particles of a Li-ion  
 20 conducting glass-ceramic material, such as described above, and a solid polymer electrolyte based on PEO-Li salt complexes. OHARA Corp. manufactures this material in the form of sheet with a thickness of about 50 microns that renders it flexible while maintaining its high ionic conductivity. Because of its relatively high ionic conductivity (better than  $4 \times 10^{-5}$  S/cm at room temperature in the case of the  
 25 OHARA product) and stability toward metallic Li, this type of composite electrolyte can be used at room temperature or elevated temperatures in fully solid-state cells.

The composite barrier layer should have an inherently high ionic conductivity. In general, the ionic conductivity of the composite is at least  $10^{-7}$  S/cm, generally at least about  $10^{-6}$  to  $10^{-5}$  S/cm, and may be as high as  $10^{-4}$  to  $10^{-3}$  S/cm or higher. The  
 30 thickness of the first precursor material layer should be enough to prevent contact between the second material layer and adjacent materials or layers, in particular, the active metal of the anode with which the separator is to be used. For example, the

first material layer may have a thickness of about 0.1 to 5 microns; 0.2 to 1 micron; or about 0.25 micron.

The thickness of the second material layer is preferably about 0.1 to 1000 microns, or, where the ionic conductivity of the second material layer is about  $10^{-7}$  S/cm, about 0.25 to 1 micron, or, where the ionic conductivity of the second material layer is between about  $10^{-4}$  to  $10^{-3}$  S/cm, about 10 to 1000 microns, preferably between 1 and 500 microns, and more preferably between 10 and 100 microns, for example 20 microns.

When the first material layer is a precursor material chemically stable in air, for example  $\text{Cu}_3\text{N}$  or LiPON, the protective composite battery separator may be handled or stored in normal ambient atmospheric conditions without degradation prior to incorporation into a battery cell. When the separator is incorporated into a battery cell, the precursor layer 202 is contacted with an active metal (e.g., lithium) electrode. The precursor reacts with the active metal to form an ionically conductive material that is chemically compatible with the active metal electrode material. The second layer is contacted with an electrolyte to which a cathode and current collector is or has been applied. Alternatively, the second layer acts as the sole electrolyte in the battery cell. In either case, the combination of the two layers in the protective composite protects the active metal electrode and the electrolyte and/or cathode from deleterious reaction with one another.

Fig. 3 illustrates an encapsulated anode structure incorporating a protective composite in accordance with the present invention. The structure 300 includes an active metal electrode 308, e.g., lithium, bonded with a current collector 310, e.g., copper, and a protective composite 302. The protective composite 302 is composed of a first layer 304 of a material that is both ionically conductive and chemically compatible with an active metal electrode material, but not chemically compatible with oxidizing materials (e.g., air). For example, the first layer, in contact with the active metal, may be composed, in whole or in part, of active metal nitrides, active metal phosphides or active metal halides. Specific examples include  $\text{Li}_3\text{N}$ ,  $\text{Li}_3\text{P}$ , LiI, LiBr, LiCl and LiF. The thickness of the first material layer is preferably about 0.1 to 5 microns, or 0.2 to 1 micron, for example about 0.25 micron.

These first layer materials may be applied to the active metal electrode, or they may be formed *in situ* by application of precursors such as metal nitrides, metal phosphides, metal halides, red phosphorus, iodine and the like. The *in situ* formation of the first layer may be by way of conversion of the precursors to a lithiated analog, for example, according to reactions of the following type (using P, CuN<sub>3</sub>, and PbI<sub>2</sub> precursors as examples):

1.  $3\text{Li} + \text{P} = \text{Li}_3\text{P}$  (reaction of the precursor to form Li-ion conductor);

2(a).  $3\text{Li} + \text{Cu}_3\text{N} = \text{Li}_3\text{N} + 3\text{Cu}$  (reaction to form Li-ion conductor/metal composite);

10 2(b).  $2\text{Li} + \text{PbI}_2 = 2\text{LiI} + \text{Pb}$  (reaction to form Li-ion conductor/metal composite).

First layer composites, which may include electronically conductive metal particles, formed as a result of *in situ* conversions meet the requirements of a first layer material for a protective composite in accordance with the present invention and are therefore within the scope of the invention.

A second layer 306 of the protective composite is composed of a substantially impervious glassy or amorphous ionic conductor, such as a phosphorus-based glass, oxide-based glass, phosphorus-oxynitride-based glass, sulphur-based glass, oxide/sulfide based glass, selenide based glass, gallium based glass, germanium-based glass, glass-ceramic active metal ion conductor, lithium beta-alumina, sodium beta-alumina, Li superionic conductor (LISICON), Na superionic conductor (NASICON), and the like. Specific examples include LiPON, Li<sub>3</sub>PO<sub>4</sub>.Li<sub>2</sub>S.SiS<sub>2</sub>, Li<sub>2</sub>S.GeS<sub>2</sub>.Ga<sub>2</sub>S<sub>3</sub>, Li<sub>1-x-y</sub>Al<sub>x</sub>Ti<sub>2-x</sub>Si<sub>y</sub>P<sub>3-y</sub>O<sub>12</sub> (available from OHARA Corporation, Japan; further described in US Patent Nos. 5,702,995, 6,030,909, 6,315,881, incorporated herein by reference), Li<sub>2</sub>O·11Al<sub>2</sub>O<sub>3</sub>, Na<sub>2</sub>O·11Al<sub>2</sub>O<sub>3</sub>, (Na, Li)<sub>1+x</sub>Ti<sub>2-x</sub>Al<sub>x</sub>(PO<sub>4</sub>)<sub>3</sub> (0.6≤x≤0.9) and crystallographically related structures, Na<sub>3</sub>Zr<sub>2</sub>Si<sub>2</sub>PO<sub>12</sub>, Li<sub>3</sub>Zr<sub>2</sub>Si<sub>2</sub>PO<sub>12</sub>, Na<sub>5</sub>ZrP<sub>3</sub>O<sub>12</sub>, Na<sub>5</sub>TiP<sub>3</sub>O<sub>12</sub>, Na<sub>3</sub>Fe<sub>2</sub>P<sub>3</sub>O<sub>12</sub>, Na<sub>4</sub>NbP<sub>3</sub>O<sub>12</sub>, Li<sub>5</sub>ZrP<sub>3</sub>O<sub>12</sub>, Li<sub>5</sub>TiP<sub>3</sub>O<sub>12</sub>, Li<sub>3</sub>Fe<sub>2</sub>P<sub>3</sub>O<sub>12</sub> and Li<sub>4</sub>NbP<sub>3</sub>O<sub>12</sub>.

The ionic conductivity of the composite is at least 10<sup>-7</sup>S/cm, generally at least 10<sup>-6</sup>S/cm, for example at least 10<sup>-5</sup>S/cm to 10<sup>-4</sup>S/cm, and as high as 10<sup>-3</sup>S/cm or higher. The thickness of the second material layer is preferably about 0.1 to 1000



microns, or, where the ionic conductivity of the second material layer is about  $10^{-7}$  S/cm, about 0.25 to 1 micron, or, where the ionic conductivity of the second material layer is between about  $10^{-4}$  about  $10^{-3}$  S/cm, 10 to 1000 microns, preferably between 1 and 500 micron, and more preferably between 10 and 100 microns, for example 20  
5 microns.

When the anode structure is incorporated in a battery cell, the first layer 304 is adjacent to an active metal (e.g., lithium) anode and the second layer 306 is adjacent to an electrolyte or, where the second layer is the sole electrolyte in the battery cell, a cathode.

10 Either layer may also include additional components. For instance, a suitable first active metal compatible layer 304 may include a polymer component to enhance its properties. For example, polymer-iodine complexes like poly(2-vinylpyridine)-iodine (P2VP-I<sub>2</sub>), polyethylene-iodine, or with tetraalkylammonium-iodine can react with Li to form a LiI-based film having significantly higher ionic conductivity than  
15 that for pure LiI. Also, a suitable second layer 306 may include a polymer component to enhance its properties. For example, a glass-ceramic active metal ion conductor like that available from OHARA Corporation, described above, may be fabricated within a polymer matrix that renders it flexible while maintaining its high ionic conductivity (available from OHARA Corporation, Japan).

20 In addition, the layers may be formed using a variety of techniques. These include deposition or evaporation (including e-beam evaporation) of layers of material, such as LiN<sub>3</sub> or an ionically conductive glass. Also, as noted above, the active metal electrode adjacent layer may be formed *in situ* from the non-deleterious reaction of one or more precursors with the active metal electrode. For example, a  
25 LiN<sub>3</sub> layer may be formed on a Li anode by contacting CuN<sub>3</sub> with the Li anode surface, or LiP<sub>3</sub> may be formed on a Li anode by contacting red phosphorus with the Li anode surface.

Also, an approach may be used where a first material and second material are coated with another material such as a transient and/or wetting layer. For example, an  
30 OHARA glass ceramic plate is coated with a LiPON layer, followed by a thin silver (Ag) coating. When lithium is evaporated onto this structure, the Ag is converted to Ag-Li and diffuses, at least in part, into the greater mass of deposited lithium, and a

protected lithium electrode is created. The thin Ag coating prevents the hot (vapor phase) lithium from contacting and adversely reaction with the LiPON first material layer. After deposition, the solid phase lithium is stable against the LiPON. A multitude of such transient/wetting (e.g., Sn) and first layer material combinations can be used to achieve the desired result.

Thus, the invention encompasses protected anode structures with fully-formed protective layers and battery separators incorporating ambient stable precursors, each of which may be handled or stored in normal ambient atmospheric conditions without degradation prior to incorporation into a battery cell. Battery cells and methods for making separators, anode structures and battery cells are also provided.

#### Fabrication Techniques

Materials and techniques for fabrication of active metal battery cells are described, for example, in US Patent No. 5,686,201 issued to Chu on November 11, 1997. Further description of materials and techniques for fabrication of active metal battery cells having anode protective layers are described, for example, in U.S. Patent Application No. 09/139,601, filed August 25, 1998 (now U.S. Patent No. 6,214,061, issued April 10, 2001), titled ENCAPSULATED LITHIUM ALLOY ELECTRODES HAVING BARRIER LAYERS, and naming May-Ying Chu, Steven J. Visco and Lutgard C. DeJonge as inventors; U.S. Patent Application No. 09/086,665 filed May 29, 1998 (now U.S. Patent No. 6,025,094, issued May 15, 2000), titled PROTECTIVE COATINGS FOR NEGATIVE ELECTRODES, and naming Steven J. Visco and May-Ying Chu as inventors; U.S. Patent Application No. 09/139,603 filed August 25, 1998 (now U.S. Patent No. 6,402,795, issued June 11, 2002), titled "PLATING METAL NEGATIVE ELECTRODES UNDER PROTECTIVE COATINGS," and naming May-Ying Chu, Steven J. Visco and Lutgard C. DeJonghe as inventors; U.S. Patent Application No. 09/139,601 filed August 25, 1998 (now U.S. Patent No. 6,214,061, issued April 10, 2001), titled "METHOD FOR FORMING ENCAPSULATED LITHIUM ELECTRODES HAVING GLASS PROTECTIVE LAYERS," and naming Steven J. Visco and Floris Y. Tsang as inventors. The active metal electrode may also be an active metal alloy electrode, as further described in U.S. Patent Application No. 10/189,908 filed July 3, 2002, titled "ENCAPSULATED ALLOY ELECTRODES," and naming Steven J. Visco, Yevgeniy S. Nimon and

Bruce D. Katz as inventors. The battery component materials, including anodes, cathodes, separators, protective layers, etc., and techniques disclosed therein are generally applicable to the present invention and each of these patent applications is incorporated herein by reference in its entirety for all purposes.

5           In particular, a protective composite in accordance with the present invention may be formed using a variety of methods. These include deposition or evaporation (including e-beam evaporation) of the first layer of material or precursor on the second layer of material. Also, as noted above and described further below, the first layer may be formed *in situ* from the non-deleterious reaction of one or more precursors  
10       with an active metal electrode or material, by deposition or evaporation of lithium on the precursor, by direct contact of the precursor with a lithium metal (e.g., foil), or by plating of the precursor with lithium through a second layer material. In some embodiments, the second layer material may also be formed on the first layer material, as described further below.

15           Referring to Fig. 4A, a first method for forming a protective composite in accordance with the present invention is shown. A first layer, that is a highly ionically conductive active metal chemically compatible material, is directly deposited onto a second layer material, that is a substantially impervious, ionically conductive material, for example, a highly ionically conductive glass or glass-ceramic material such as  
20       LiPON or an OHARA glass-ceramic material described above. This can be done by a variety of techniques including RF sputtering, e-beam evaporation, thermal evaporation, or reactive thermal or e-beam evaporation, for example. In the particular example illustrated in the figure, lithium is evaporated in a nitrogen plasma to form a lithium nitride ( $\text{Li}_3\text{N}$ ) layer on the surface of a glass-ceramic material such as the  
25       OHARA material described above. This is followed by evaporation of lithium metal onto the  $\text{Li}_3\text{N}$  film. The  $\text{Li}_3\text{N}$  layer separates the lithium metal electrode from the second material layer, but allows Li ions to pass from the Li electrode through the glass. Of course, other active metal, and first and second layer materials, as described herein, may be used as well.

30           Alternatively, referring to Fig. 4B, a second method for forming a protective composite in accordance with the present invention is shown. The ionically conductive chemically compatible first layer material is formed *in situ* following

formation of a precursor layer on the second layer material. In the particular example illustrated in the figure, a surface of a glass-ceramic layer, for example one composed of the OHARA material described above, is coated with red phosphorus, a precursor for an active metal (in this case lithium) phosphide. Then a layer of lithium metal is deposited onto the phosphorus. The reaction of lithium and phosphorus forms  $\text{Li}_3\text{P}$  according to the following reaction:  $3\text{Li} + \text{P} = \text{Li}_3\text{P}$ .  $\text{Li}_3\text{P}$  is an ionically conductive material that is chemically compatible with both the lithium anode and the glass-ceramic material. In this way, the glass-ceramic (or other second layer material) is not in direct contact with the lithium electrode. Of course, other active metal, first layer precursor and second layer materials, as described herein, may be used as well. Alternative precursor examples include  $\text{CuN}_3$ , which may be formed as a thin layer on a second layer material (e.g., glass-ceramic) and contacted with a Li anode in a similar manner according to the following reaction:  $3\text{Li} + \text{Cu}_3\text{N} = \text{Li}_3\text{N} + 3\text{Cu}$ ; or lead iodide which may be formed as a thin layer on a polymer electrolyte and contacted with a Li anode in a similar manner according to the following reaction:  $2\text{Li} + \text{PbI}_2 = 2\text{LiI} + \text{Pb}$ .

In either of the forgoing methods, rather than forming a lithium (or other active metal) layer on the first layer material or precursor, the first layer material or precursor of the protective composite may be contacted with the lithium by bonding metallic lithium to the protective interlayer material or precursor, for example by direct contact with extruded lithium metal foil. One embodiment of this alternative is illustrated for either of the Fig. 4A or Fig. 4B methods in Fig. 5.

In a further embodiment, a suitable substrate, e.g., having a wetting layer, such as a film of tin on copper, may be coated with a first layer material precursor, e.g.,  $\text{Cu}_3\text{N}$ . This may then be coated with a second layer material, e.g., a (ionically) conductive glass. An active metal electrode may then be formed by plating the tin electrode with lithium (or other active metal), through the first and second layer materials. The  $\text{Cu}_3\text{N}$  precursor is also converted to  $\text{Li}_3\text{N}$  by this operation to complete the protective composite in accordance with the present invention on a lithium metal electrode. Details of an active metal plating process are described in commonly assigned US Patent No. 6,402,795, previously incorporated by reference.

Also, in either of the methods illustrated in Figs. 4A or 4B, rather than forming a lithium (or other active metal) layer on the first layer material or precursor, the first layer material or precursor of the protective composite may be deposited (or otherwise formed) on lithium or other active metal material. Then, a second later material may be formed, for example by evaporation of a high conductivity glass, on the first layer material. One embodiment of this alternative is illustrated in Fig. 5 in which the active metal electrode is formed by evaporating lithium onto a pre-formed copper-tin (Cu-Sn) alloy to form a pre-expanded Li-Cu-Sn alloy anode as a substrate for the first and second layer materials forming the protective composite.

Also as noted above, in an alternative embodiment of the invention the first layer may include additional components. For instance, a suitable first layer may include a polymer component to enhance its properties. For example, polymer-iodine complexes like poly(2-vinylpyridine)-iodine (P2VP-I<sub>2</sub>), polyethylene-iodine, or tetraalkylammonium-iodine can react with Li to form an ionically conductive LiI-based film that is chemically compatible with both an active metal and a second layer material as described herein. Without intending to be bound by theory, it is expected that the use of polymer-iodine charge transfer complexes can lead to formation of composites containing LiI and polymer and having significantly higher ionic conductivity than that for pure LiI. Other halogens may also be used in this manner, for example in bromine complexes.

Referring to Fig. 6A, a first embodiment of this aspect of the present invention is shown. A polymer layer and a layer of iodine are coated on a second layer material surface and allowed to react forming polymer-iodine complex.

According to this method, a thin layer of polymer may be applied to the second material layer (e.g., conductive glass) using brushing, dipping, or spraying. For example, a conductive glass layer may be coated with a thin (e.g, 0.5 to 2.0 micron, preferably 0.1 to 0.5 micron) layer of P2VP in this way.

One technique for applying an iodine coating is sublimation of crystalline iodine that can be achieved at room temperature (e.g., about 20 to 25°C) in a reactor placed in the dry box or in a dry room. A sublimed layer of iodine can be made very thin (e.g., 0.05 to 1.0 microns and the rate of sublimation can be adjusted by varying the temperature or distance between the substrate and source of iodine.

Alternatively, high concentrations (e.g., 50 to 100 g/liter of iodine can be dissolved in an organic solvent, such as acetonitrile and n-heptane. Dissolved iodine can be coated on the conductive glass surface by such methods as dip coating, spraying or brushing, among others. In this case, treatment conditions can be easily  
5 changed by varying the length of coating treatment and iodine concentrations. Examples of iodine sources for this technique include metal iodides are AgI and  $\text{PbI}_2$ , which are known to be used as the cathode materials in solid-state batteries with Li anode and LiI-based solid electrolyte.

Then, lithium (or other active metal) is contacted with the polymer-iodine  
10 complex on the conductive glass (or other second layer material), for example by evaporation or pressing onto the glass coated with this complex. The result is a LiI-containing composite protective barrier layer on the Li anode.

Referring to Fig. 6B, an alternative embodiment of this aspect of the present invention is shown. A conductive glass (or other second layer material) surface is  
15 coated with a thin layer of iodine, such as by a technique described above, that can react with Li forming LiI layer (A).

Active metal, for example lithium foil, can be coated with a thin layer of polymer (B), for example as described above, and then contacted with the iodine layer on the glass. After assembly, iodine reacts with the polymer layer and, as a result, LiI-  
20 containing composite protective barrier layer with reduced impedance is formed.

### Examples

The following examples provide details illustrating advantageous properties, in particular very low impedance, of composite protective structures in accordance with the present invention on lithium electrodes. These examples are provided to  
25 exemplify and more clearly illustrate aspects of the present invention and are in no way intended to be limiting.

#### **Example 1:** Impedance measurements using LIPON in composite protective layer

Approximately 0.75 microns of LiPON was RF sputter-deposited onto copper foil samples in a MRC 8671 Sputter Deposition system. Some of the copper foil  
30 samples were coated with an additional layer of  $\text{Cu}_3\text{N}$  (approximately 0.9 microns) by RF Magnetron sputtering of a copper target in a nitrogen environment. One

LiPON/Cu sample was transferred to a vacuum evaporator, and approximately 3 to 7 microns of lithium metal was evaporated directly onto the LiPON surface. Another  $\text{Cu}_3\text{N}$ /LiPON/Cu sample was coated with a similar thickness of lithium. The impedance for the unprotected LiPON/Cu sample is shown in Fig. 7A; the evaporation of lithium onto the LiPON surface led to a dramatic rise in the resistance of the sample, which is undesirable for electrochemical devices. The beneficial effects of the protective  $\text{Cu}_3\text{N}$  film is seen in Fig. 7B; the impedance is dramatically lower in this case.

**Example 2:** Impedance measurements using glass-ceramic active metal ion conductor (OHARA) in composite protective layer

Samples of  $\text{Li}^+$  conductive glass-ceramic plates were received from OHARA Corporation. Approximately 3 to 7 microns of lithium was evaporated directly onto the OHARA glass-ceramic plate. The deleterious reaction of lithium with the electrolyte is seen in Fig. 8A; the impedance of the sample is quite large, approximately  $40,000 \text{ Wcm}^2$ . Onto a second sample of glass-ceramic plate was RF Magnetron sputter-deposited a film of  $\text{Cu}_3\text{N}$  ( $\sim 0.9$  microns thick), with subsequent evaporation of about 3 to 7 microns of lithium. The beneficial effect of the  $\text{Cu}_3\text{N}$  film can be seen in Fig. 8B; the impedance of the glass-ceramic is dramatically improved relative to the plate without the  $\text{Cu}_3\text{N}$  film. Superimposition of Figs. 8A and 8B in Fig. 8C further illustrates the dramatic improvement in performance for the  $\text{Cu}_3\text{N}$  protected plate. The ionically conductive nature of the protective film is seen in 8D, where lithium is moved across the Li/ $\text{Cu}_3\text{N}$ /glass interface; this is presumably due to conversion of the ionically insulating  $\text{Cu}_3\text{N}$  film to highly conductive  $\text{Li}_3\text{N} + \text{Cu}$ .

#### Conclusion

Although the foregoing invention has been described in some detail for purposes of clarity of understanding, it will be apparent that certain changes and modifications may be practiced within the scope of the appended claims. It should be noted that there are many alternative ways of implementing both the process and compositions of the present invention. Accordingly, the present embodiments are to be considered as illustrative and not restrictive, and the invention is not to be limited to the details given herein.

All references cited herein are incorporated by reference for all purposes.

*What is claimed is:*